

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 24, 2004

PTAS

SCOTT W. HEWETT
400 W. 3RD STREET, NO. 223
SANTA ROSA, CA 95401

102686110A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/23/2004

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MCGETTIGAN, ANTHONY D.

DOC DATE: 02/20/2004

ASSIGNOR:

OCKENFUSS, GEORG

DOC DATE: 02/20/2004

ASSIGNEE:

OPTICAL COATING LABORATORY, INC.
2789 NORTHPOINT PARKWAY
SANTA ROSA, CALIFORNIA 95407

SERIAL NUMBER: 10785589

FILING DATE: 02/23/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: ANTI-ALIASING OPTICAL FILTER FOR IMAGE SENSORS

03-05-2004

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(Rev. 10/02)

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OMB No. 0851-0027 (exp. 6/30/2005)

Tab settings

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office22857 U.S. PTO
10785589

022304

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Anthony D. McGattigan
Georg Ockenfuss

2/23/04

2. Name and address of receiving party(ies)

Name: Optical Coating Laboratory, Inc.

Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address: 2789 Northpoint Parkway

City: Santa Rosa State: CA Zip: 95407

Execution Date: 02/20/2004

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 02/20/2004

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Scott W. Hewett

Internal Address:

Street Address: 400 West Third Street

No. 223

City: Santa Rosa State: CA Zip: 95401

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0891

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9. Signature.

Scott W. Hewett

Name of Person Signing

Signature

02/23/2004

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

01/2004 ENR:LEI 00000068 500891 10785589

EC:8021

40.00 DA

Docket No. OC0409US

ASSIGNMENT OF APPLICATION

Whereas we, Anthony D. McGettigan and Georg Ockenfuss, both of Santa Rosa, California, hereafter referred to as APPLICANTS, have invented certain new and useful improvements in ANTI-ALIASING OPTICAL FILTER FOR IMAGE SENSORS, hereinafter called "the invention", and which is assigned Attorney Docket No. OC0409US, and

Whereas, Optical Coating Laboratory, Inc., of Santa Rosa, California, a corporation of the State of Delaware, herein referred to as ASSIGNEE, whose principle place of business is 2789 Northpoint Parkway, Santa Rosa, CA 95407-7397 is desirous of acquiring the entire right, title, and interest in the same;

Now therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, an APPLICANT, by these presents do sell, assign, and transfer to the ASSIGNEE the full and exclusive right to the invention in the United States and the entire right, title and interest in and to any and all Patents that may be granted therefor in the United States, including any reissue patents, continuation patents, and/or division patents. I also hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to the ASSIGNEE, who holds the entire right, title, and interest to the same for its sole use and benefit; and for the use and benefit of its legal representatives, successors, and assigns, to the full end of the term for which any Patent may be granted.

I, the APPLICANT hereby further sells, assigns, transfers, and sets over to the ASSIGNEE the entire right, title, and interest in and to the invention in each and every country foreign to the United States; and the APPLICANT further conveys to the ASSIGNEE all priority rights resulting from the above-identified United States Patent Application, including the right to file patent applications in the ASSIGNEE's own name. The APPLICANT further agrees to execute all papers, give any required testimony, and perform other lawful acts, at the ASSIGNEE's expense, as the ASSIGNEE may require to enable the ASSIGNEE to perfect the ASSIGNEE's interest in any resulting patent of the United States or any foreign country, and to acquire, hold, enforce, convey, and uphold the validity of the patent and reissues and extensions thereof, and the ASSIGNEE's interest therein.

Date I signed the Declaration: 2/20/04Executed this 20 day of February 2004 at Santa Rosa, California
Anthony D. McGettiganWitnessed by: Francine Kunkel(Print name): Francine Kunkel

Docket No. OC0409US

ASSIGNMENT OF APPLICATION

Whereas we, Anthony D. McGettigan and Georg Ockenfuss, both of Santa Rosa, California, hereafter referred to as APPLICANTS, have invented certain new and useful improvements in ANTI-ALIASING OPTICAL FILTER FOR IMAGE SENSORS, hereinafter called "the invention", and which is assigned Attorney Docket No. OC0409US, and

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I, the APPLICANT hereby further sells, assigns, transfers, and sets over to the ASSIGNEE the entire right, title, and interest in and to the invention in each and every country foreign to the United States; and the APPLICANT further conveys to the ASSIGNEE all priority rights resulting from the above-identified United States Patent Application, including the right to file patent applications in the ASSIGNEE's own name. The APPLICANT further agrees to execute all papers, give any required testimony, and perform other lawful acts, at the ASSIGNEE's expense, as the ASSIGNEE may require to enable the ASSIGNEE to perfect the ASSIGNEE's interest in any resulting patent of the United States or any foreign country, and to acquire, hold, enforce, convey, and uphold the validity of the patent and reissues and extensions thereof, and the ASSIGNEE's interest therein.

Date I signed the Declaration: 02/20/2004

Executed this 20 day of February 2004 at Santa Rosa, California


Georg Ockenfuss

Witnessed by: 

(Print name): CHARLES K. CARNIGLIA